

PREQUALIFICATION CRITERIA FOR
CONSTRUCTION WORKS OF ROADS,
BRIDGES AND BUILDING

Government of Gujarat
Roads & Building Department
Sachivalay, Gandhinagar
G.R. No. SSR/10/2015/17/C
Date:20/06/2020

RESOLUTION

SUBJECT: Consolidated resolution of Prequalification Criteria for Construction Work of Roads, Bridges, and Buildings.

READ: (1) Letter of R&B Department No.SSR/102000-(10)-C, dated 10/07/2000
(2) R&B Department Govt. of Gujarat Resolution No. SSR/2007/3208/2/C, dt.11.08.2009
(3) R&B Department Govt.of Gujarat Resolution No. SSR/1097/32/C, dt.15/06/2011
(4) R&B Department Govt. of Gujarat Resolution No. SSR/1097/32/C, dt.13/12/2011
(5) R&B Department Govt. of Gujarat Resolution No. SSR/1097/32/C, dt.05/02/2013
(6) R&B Department Govt. of Gujarat Resolution No. SSR/10/2015/17/C, dt.03/02/2017
(7) R&B Department Govt. of Gujarat Resolution No. SSR/10/2015/17/C, dt.24/10/2017

PREAMBLE:-

R&B Department Govt. of Gujarat had issued letter and Resolution [G.R.] regarding Prequalification Criteria for Construction Works of Roads, Bridges and Buildings time to time as above. It was under consideration of Govt. of Gujarat to consolidate such letters & GRs in to one consolidated GR for simplification and uniformity in administration.

RESOLUTION:-

After due consideration it is decided to issue a consolidated Resolution, consolidating all letters / Resolution as referred above, therefore Govt. is pleased to issue a revised consolidated government resolution by consolidating earlier government resolutions till the date of this resolution about prequalification criteria for construction works of roads, bridges and buildings as under.



PREQUALIFICATION OF BIDDERS

(A) PREREQUISITES:-

Prequalification shall be adopted when the amount of work put to tender exceeds as follows or as approved by the Government in specific cases.

Road works	Above Rs. 7.50 Crores
Bridge and Building works	Above Rs. 7.0 Crores
Electrical works*	Above Rs. 0.5 Crores

(* Includes any or combination of providing of AC plants, lifts sound systems of auditorium, refrigeration)

(B) SYSTEM:-

The process of evaluation shall be undertaken as mentioned in the Resolution in case of road/bridge/building work. In case of electrical works, the system shall be got prior approval from the authority competent to accept the tender. General precedence and Guidelines of Vigilance Commission shall be followed as well as in initial scrutiny (i.e. scrutiny of various documents like Submission of Tender Fee, EMD, Power of Attorney, Certificate of Registrar of company or Registrar of Firm, required Category of Registration as applicable,) before opening of price bid is also required to be followed.

(C) EVALUATION APPROVAL:-

When number of prequalified bidders are less than $2/3^{\text{rd}}$ of the total bidders, list of qualified bidders shall be got approved from concerned Chief Engineer when evaluation is done by committee of Superintending Engineers as per appendix-C and Secretary (PS/ACS) when evaluation is done by committee of Chief Engineers as per appendix-C.

PREQUALIFICATION CRITERIA FOR THE CONSTRUCTION WORK OF ROADS, BRIDGES AND BUILDINGS

(1) ELIGIBILITY:

- (a) For works having amount put to tender less than Rs.50 crores.
 - I. Bidder can be a firm having valid registration as per para (3) a herein below.
- (b) For works having amount put to tender equal to or more than Rs.50 crores.
 - I. As per (a) above
 - Or**
 - II. Bidder can be a Joint venture of not more than 3 firms.
 - a. Each partner firm should have valid registration as per para 3(a) herein below.
 - b. Lead Partner firm must have more than 51% financial participation and other members must have not less than 20% financial participation. (Total should be 100%)
 - III. No firm can bid for a given work simultaneously as separate bidder and as a member of any joint venture. Both, the firm and joint venture shall stand disqualified in such case.
- (c) Registered firm on registered on contractor's list and firm registered as its sister concern on registered on contractor's list, both simultaneously cannot bid the same work till the completion of 3 years of registration of sister concern as registered contractor.

(2) QUALIFICATION CRITERIA:

(a) ANNUAL TURN OVER

- i. Annual turnover of any one of the last five financial years from current financial year, updated to the current financial year shall be more than Rs. _____ crores **(X)**. For guidance of deriving X : This value shall be derived by dividing amount put to tender by the time limit expressed in year/s for the proposed work.
- ii. For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement factor corresponding to that year. These enhancement factor shall be as given in para 4 herein below.
- iii. For joint venture, the lead partner must have updated annual turnover not less than Rs. _____ crores **(51% of X)** and remaining of each partners must have updated annual turnover not less than Rs. _____ crors **(30% of X)**. The joint venture must collectively have updated annual turnover not less than Rs. _____ crores **(X)**

(b) SUCCESSFUL EXPERIENCE

Bidder must have as prime contractor or as nominated (approved by employer) sub contractor successful experience as follows:

- i. At least one similar work having updated completion cost not less than Rs. _____ crores **(40% of the amount put to tender of the proposed work)**
- ii. Such work must have been completed within last five financial years i.e. from 31-3 _____ till the due date of bid for the proposed work.

- iii. A work would qualify as similar work only if it meets with definitions given in Appendix-A.
- iv. For updating completion cost of the work to the current financial year, procedure narrated in 2(a) ii shall mutatis mutandis apply.
- v. For joint venture, qualifying threshold amount of updated completion cost would be
- a. Lead member : Rs. _____ crores (75% of amount mentioned in b(i))
- b. Each of remaining members : Rs. _____ crores (51% of amount mentioned in b(i)) i.e. 20.4% of amount put to tender.

(c) BID CAPACITY

- i. The bidder must have available Bid Capacity (ABC) more than the amount put to tender.

$$ABC = 2 * A * N - B$$

Where,

A is the maximum of updated total amount of works executed in any one year of the last five financial years i.e. from _____ to _____

N is the number of years prescribed for completion of the proposed work.

B is the amount of the existing commitments and ongoing works to be discharged during time interval of N years from the bid due date.

For the purpose of updating amount of works executed in any year, procedure narrated in para 2 (a) ii shall mutatis mutandis apply. Existing commitments shall include all such works for which letters of acceptance of the tenders have been received by bidder till the date on which bidder has submitted his bid for the proposed work.

- ii. For joint venture, each member's available bid capacity shall first be reduced in proportion to his proposed financial participation. Sum of reduced available bid capacity of all the members should be more than the amount put to tender.

(3) OTHER REQUIREMENTS

(a) BIDDER'S REGISTRATION

- i. Only those bidders shall bid whose names are borne on the approved list of registered contractors in the required class & category with Gujarat State R & BD/W.R.D.
- ii. The contractors, who are registered in appropriate category of C.P.W.D., M.E.S., Railways and Indian State Governments, can also bid provided the bidder produce such registration certificate at the time of bidding and obtain registration in required class & category from the Gujarat State R & BD/W.R.D. before issuing work order. Bidder will solely be responsible for obtaining the required registration.

(b) LITIGATION HISTORY

The applicant should provide accurate information on litigation and/or arbitration resulting from Contracts completed or under execution by him over the last five years. A consistent history of arbitration awards/judgments against the applicant or any partner of a joint venture may result in disqualification for proposed work. If

the details of Litigation History is hidden by the applicant and later on it comes to knowledge of the employer the bidder shall be disqualified for the proposed work and other appropriate actions shall be taken against the bidder.

(c) MACHINERY/EQUIPMENT

Bidder shall have to assure availability of machinery/equipments in working condition as per Appendix-B. If bidder fails to provide proof of assured availability of required machinery, he will be disqualified for the proposed work. Machinery ownership document or lease/hire agreement for the work under tender shall be considered as valid proof for a assured availability.

(d) BIDDING IN E-TENDERING

- i. Submission of application must be through e-tendering i.e. Electronic form.
- ii. Bidders shall have to submit the bid in E-tendering form only.
- iii. Bids of those bidders who have submitted all information, statistical details as required in the bid documents through E-tendering will only be considered. If the employer desires any clarification, for verification/clarification, ambiguity or difference found in the documents/statistical details submitted only (by E-tendering) by the bidder the same shall be furnished within stipulated time otherwise further processing will be carried out in absence of above and the bidders shall be liable for any consequence.
- iv. No bidder can participate in more than one bid for proposed work.

(e) SUBMISSION OF DOCUMENTS

- i. Following documents/papers shall form part of the bid.
 1. JV agreement (when bidder is JV) clearly indicating the name of lead partner and percentage financial participation of each partner. JV agreement must also demonstrate responsibility of each partner. The JV agreement should be so signed as to be legally binding to all partners, jointly and severally.
 2. Annual turnover certificates issued by Chartered Accountant for last five financial year.
 3. Form 3A issued by employer to substantiate successful experience of similar work. When employer of similar work is not a government, following need also to be furnished.
 - a. Self attested copy of Work Order
 - b. Self attested copy of Agreement
 - c. Self attested copy of Completion Certificate
 - d. Self attested copy of Final Bill
 - e. Self attested copy of TDS certificate
 - f. Self attested copy of letter of permission given by employer for subletting the work.
 4. Existing commitments and ongoing works as per Appendix-D
 5. Litigation/Arbitration history
 6. Proof of assured availability of required machinery/equipment
 7. An undertaking for truthfulness of information on furnished

- Any information, data, statistics etc. which are not related to bid document will not be considered in evaluation even though furnished by the applicant.
- iii. In accordance with stipulation of Para 3D (iii) Employer reserves the right to call any information/document which is mandatory, essential and critical for the purpose of evaluation. Any information provided by the applicant after last date of Electronic submission will not be considered in evaluation, unless except the employer has specifically asked for any information/document, which is mandatory, essential and critical for evaluation of PQ document. If required information is not furnished within stipulated time, proposal will be liable for rejection.
- iv. If any of the information provided by the bidder is found false during scrutiny or at the later stage, his EMD shall be forfeited and he shall be disqualified for the proposed work. In case when bidder has furnished exemption certificate in lieu of EMD, an amount equal to EMD shall be appropriated from his FDR pledged to avail of exemption certificate. If any of the information provided by the bidder is found false after award of work, the performance security of the bidder shall be forfeited and the contract shall be terminated.

(4) ESCALATION FACTORS

Following enhancement factors will be applied to annual turnover and completion cost of works to bring them to the base year. The current financial year in which bid is invited shall be considered as the base year.

Year	Financial Year	Enhancement factor
-1	20__-20__	1.1
-2	20__-20__	1.21
-3	20__-20__	1.33
-4	20__-20__	1.46
-5	20__-20__	1.61

Officer on Special Duty (S.P.)
R&B Department
Gandhinagar

To,

1. P.A. to Secretary, R & B. Department, Sachivalaya, Gandhinagar.
2. P.A. to Secretary, Narmada, Water Resources, Water Supply & Kalpsar Department, Sachivalaya, Gandhinagar.
3. P.A. to Secretary, Health & F.W. Department, Sachivalaya, Gandhinagar.
4. P.A. to Secretary, Urban Development & Urban Housing Department, Sachivalaya, Gandhinagar.
5. P.A. to Secretary, Panchayata, Rural Housing & Rural Development Department, Sachivalaya, Gandhinagar.
6. Accountant General, Rajkot/Ahmedabad
7. All Chief Engineers, R. & B. Department, Sachivalaya, Gandhinagar

8. All Chief Engineers, Narmada, Water. Resources, Water Supply & Kalpsar Department, Sachivalaya, Gandhinagar.
9. Managing Director, Gujarat State Road Development Corporation Ltd., Nirman Bhavan, Gandhinagar
10. Director, Engineering Staff Training College, Gandhinagar
11. Director, Gujarat Engineering Research Institute (GERI), Vadodara.
12. Under Secretary, Gujarat Vigilance Commission, Gandhinagar
13. Financial Advisor, R & B Department, Sachivalaya, Gandhinagar
14. All Superintending Engineers- R & B State/Panchayat/National Highway/ Expressway/ Capital Project/Ahmedabad City circle/World Bank/SHDP-PIU /Electrical Circles
15. All Executive Engineers of all divisions under the control of above circles.
16. All Technical Officers including Dy. Executive Engineers, R & B Department, Sachivalaya, Gandhinagar
17. All Project Branches R & B Department, Sachivalaya, Gandhinagar
18. Secretary, Gujarat Contractors Association, Gajjar Hall, Law Garden, Law College Road, Ahmedabad
19. Select file

1. ROAD WORKS :

a. STRENGTHENING/RESURFACING/RENEWAL WORK

i. Any asphalt work done by paver such as AC/BC/DBM/BM/SDBC/IPMC/BUSG

b. WIDENING WORK

- i. Widening up to 7 m. of carriage way
1. Any work of widening of carriage way to minimum 5.5 m. carriage way and should have items of WBM/ WMM and paver laid asphalt work.
- or
2. New road construction work (minimum carriage way width not less than 3.5 m) involving items of WBM/WMM and paver laid asphalt work.
- ii. Widening up to 10 m of carriage way
1. Any road work of widening of carriage way to 10 m
- or
2. Any road work of carriage way widening involving addition of carriage way not less than 3.0 m

or

3. New road construction work (minimum carriage way width not less than 3.5 m) involving items of WBM/WMM and pave laid asphalt work.

c. NEW ROADS/FOUR LANING/SIX LANING :

1. New road construction work (minimum carriage way width not less than 3.5 m) involving items of WBM/WMM and paver laid asphalt work.
- or
2. Any road work of widening of carriage way up to 10 m involving items of WBM and WMM plus asphalt work done by paver.

or

3. Any road work of carriage way widening involving addition of carriage way not less than 3.0 m

Note: For prequalification cost of the work involving road widening is to be considered. If there is a package of works/ single work consisting combination of resurfacing, strengthening and widening of road, only cost of road widening carried out is to be considered for financial criteria. For example (1) If package consisting three road works in which one work is for strengthening, one work is for resurfacing and other work is for widening of road than cost of widening work is only to be considered for criteria of successful experience (2) If any single work consisting road works in which strengthening, resurfacing and widening of road than cost of widening work is only to be considered for criteria of successful experience.

2. BRIDGE WORKS

- i. Any bridge work having completion cost more than 40% of the amount put to tender and
- ii. Bidder shall have experience during last 10 financial years of executing bridge/s involving similar type of foundation and superstructure.

3. BUILDING WORKS

- a. *Building having frame structure/composite structure: Up to G + 3 storey*
 - i. Bidder shall have experience for the construction of any building having frame/composite structure
- b. *Building having frame structure/composite structure: up to G + 5 storey*
 - i. Bidder shall have experience for the construction of any building having frame/composite structure of G + 2 storey and above
- c. *Building having frame structure/composite structure: more than G + 5 storey*
 - i. Bidder shall have experience for the construction of any building having frame/composite structure of G + 4 storey and above
- d. Building having load bearing structure
 - i. Bidder shall have experience for the construction of any building having load bearing structure

Note : Construction of water tank, lift well, staircase cabin will not be considered as storey.

4. Any work which does not fall in above categories definition or of nature of similar work shall be approved from competent authority.



APPENDIX-B

Indicative list of minimum plant & equipment to be deployed on contract work

Sr.	Type of Equipment	Amount put to tender (Rs. in crore)			
		Up to Rs.10	Rs.10-20	Rs.20-50	Rs.50-100
1	Tipper Trucks	6	10	15	20
2	Motor Grader	1	2	3	4
3	Dozer	1	1	1	2
4	Front end Loader	2	2	2	4
5	Smooth Wheeled Roller	2	2	3	4
6	Vibratory Roller	2	2	2	3
7	Hot Mix Plant with Electronic Controls (minimum 60-70)	1	1	1	1
A	Continuous Batch Mix Plant * Min 120 TPH	0	1	1	2
8	Paver Finisher with Electronic Sensor*	1	1	1	2
9	Water Tanker	2	3	4	5
10	Bitumen Sprayer	1	1	1	2
11	Tandem Roller	1	1	1	1
12	Concrete Mixers with Integral Wight batching facility	2	2	1	2
13	Concrete batch mixing plant minimum capacity-15 m ³ /Hour	0	1	1	1
14	Concrete paver capable of paving 7.5 meter width extensible to 10 m in one single pass including all accessories. Such as automatic dowel bar inserter integral vibratory system and electronic sensors ancillary equipment for applying curing compound, joint cutting etc.	1	1	1	1
15	Concrete Batch Mixing plant with automatic control minimum capacity-100 cum/hour	0	0	0	1
		23	31	38	55

Note:- Above indicative list of minimum machinery shall be modified in accordance with requirement of work on case to case basis.

* The minimum requirement of Plants & Equipment specified in Appendix-B at Sr. 7-A and 8 will be considered for those works at the time of Prequalification in which estimated amount of Asphalt work to be done by Paver is of Rs.10/- crore or more, instead of amount put to tender of those works.

The requirement of Sensor Paver in which Blade can be extended up to 10.00 Mtr. Width will be considered for the works of widening and strengthening/strengthening of road having existing 7.0 mtr. or more width of asphalt surface and for those works only in which the value of asphalt work to be done by Sensor Paver is of Rs.10/- crore or more, instead of amount put to tender of those works.

APPENDIX-C

EVALUATION COMMITTEES:

Committee	A		B	
	Committee A must comprise total 4 members as below 1. Concern Superintending Engineer 2. Other Superintending Engineer (same Head Quarter preferable) 3. Concern Executive Engineer 4. Concern Divisional Accountant		Committee B* must comprise total 4 members as below 1. Concern Chief Engineer, Chairman 2. Other Chief Engineer, Member 3. Concern Superintending Engineer 4. Financial Advisor	
Type of Work under Evaluation	Road	Bridge/Building	Road	Bridge/Building
Amount put to Tender	Above Rs.7.50 crores and up to Rs.12 crores	Above Rs.7 crores and up to Rs.10 crores	Above Rs.12 crores	Above Rs.10 crores

* Chief Engineer's committee will be constituted as below.

1. For state works, Chief Engineer(R&B) and A.S. as Chairman, Chief Engineer(NH) and A.S. as member
2. For panchayat works, Chief Engineer(Pan.) and A.S. as Chairman, Chief Engineer(QC) and A.S. as member
3. For Capital project works, Chief Engineer(Cap.) and A.S. as Chairman, Chief Engineer(P.&P.) as member
4. For National Highway works, Chief Engineer(NH) and A.S. as Chairman, Chief Engineer(Pan.) and A.S. as member



**APPENDIX-D
EXPERIENCE ALL PROJECTS IN PROGRESS**

Give information about all projects which are in progress including the company has received a letter of intent/acceptance but a formal contract has not yet been awarded

Employer	Engineer responsible for supervision	Location & description of works	Value of contract	Cost of work executed as on date of this bid	Cost of work to be executed as on the date of this bid	Percentage of cost of work completion [Value of Col.5/Value of Col.4] x 100	Date of work order	Stipulated date of completion of work	Likely date of completion	Reasons for slow progress if any
1	2	3	4	5	6	7	8	9	10	11

NOTE:

Non disclosure of any information in the schedule will result in disqualification of the bidder.

(Signature of Bidder)